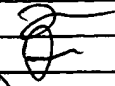
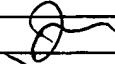
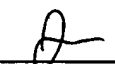
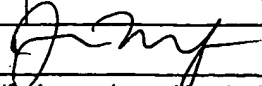


IDS date: 10/15/03

SHEET 1 OF 1

| Form PTO 1449<br>(Modified)                                                                                                                                                                                                                  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                                                                                                                                              |          | ATTY DOCKET NO.<br>243930US2SRD |                         | SERIAL NO.<br>New Application                                    |                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------------------------|-------------------------|------------------------------------------------------------------|-------------------------------|
| LIST OF REFERENCES CITED BY APPLICANT                                                                                                                                                                                                        |    |                                                                                                                                                                                                         |          | APPLICANT<br>Shigeru OHSHIMA    |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              |    |                                                                                                                                                                                                         |          | FILING DATE<br>Herewith         |                         | GROUP                                                            |                               |
| U.S. PATENT DOCUMENTS                                                                                                                                                                                                                        |    |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
| EXAMINER<br>INITIAL                                                                                                                                                                                                                          |    | DOCUMENT<br>NUMBER                                                                                                                                                                                      | DATE     | NAME                            | CLASS                   | SUB<br>CLASS                                                     | FILING DATE<br>IF APPROPRIATE |
|                                                                                                                                                             | AA | 4,998,256                                                                                                                                                                                               | 03/05/91 | Shigeru OHSHIMA, et al.         |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AB | 5,920,414                                                                                                                                                                                               | 07/06/99 | Masahide MIYACHI, et al.        |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AC |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AD |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AE |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AF |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AG |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AH |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AI |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AJ |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AK |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AL |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AM |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AN |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
| FOREIGN PATENT DOCUMENTS                                                                                                                                                                                                                     |    |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              |    | DOCUMENT<br>NUMBER                                                                                                                                                                                      | DATE     | COUNTRY                         | TRANSLATION             |                                                                  |                               |
|                                                                                                                                                                                                                                              |    |                                                                                                                                                                                                         |          |                                 | YES                     | NO                                                               |                               |
|                                                                                                                                                           | AO | 3-72686                                                                                                                                                                                                 | 03/27/91 | JAPAN                           |                         |                                                                  | X                             |
|                                                                                                                                                                                                                                              | AP |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AQ |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AR |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AS |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AT |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AU |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AV |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                                                                      |    |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                           | AW | H. NASU, et al., "2.5 GHz-SPACING WAVELENGTH MONITOR INTEGRATED DFB LASER MODULE USING STANDARD 14-pin BUTTERFLY PACKAGE", OFC 2002, March 20, 2002, pgs. 209-211                                       |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AX | J. SANEYOSHI, et al., Handbook of Ultra-Sonic Technology, July 20, 1978, pgs. 342-345                                                                                                                   |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AY | M. MIYACHI, et al., "A FREQUENCY-STABILIZED LD MODULE WITH A Z-CUT QUARTZ FABRY-PEROT RESONATOR FOR COHERENT COMMUNICATION", Fifth Optoelectronics Conference Technical Digest, July 1994, pgs. 390-391 |          |                                 |                         |                                                                  |                               |
|                                                                                                                                                                                                                                              | AZ | S. OHSHIMA, et al., "ANALYSIS OF Z-CUT QUARTZ ETALON FOR A WAVELENGTH LOCKER", Proceedings of the 2001 Electronics Society Conference of the IEICE, C-3-47, September 2001, page 157                    |          |                                 |                         | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner                                                                                                                                                  |    |                                                                                                                                                                                                         |          |                                 | Date Considered 11/8/05 |                                                                  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |                                                                                                                                                                                                         |          |                                 |                         |                                                                  |                               |



LIST OF RELATED CASES

| <u>Docket Number</u>     | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent Appl.<br/>Publication No.</u> | <u>Inventor/<br/>Applicant</u>     |
|--------------------------|------------------------------------|---------------------------------|-----------------------------------------|------------------------------------|
| <del>243930US2SRD*</del> | <del>10/684,373</del>              | <del>10/15/03</del>             | <del>2004/0131095 A1</del>              | <del>OHSIMA</del> <i>This case</i> |
| <i>Dr</i> 268738US2SRD   | 11/088,764                         | 03/25/05                        |                                         | OOSHIMA                            |

*Jmf*

11/8/05